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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</i>	Attorney Docket No.	8009-7
	First Inventor or Application Identifier	SKIENA ET AL.
	Title	Sentence Reconstruction...
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APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
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- ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 40]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
- Oath or Declaration [Total Pages]
 - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Copy
 - ☐ Paper Copy (identical to computer copy)
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ * Small Entity Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12)
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- Prior application information: Examiner: Group / Art Unit: 2411

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SENTENCE RECONSTRUCTION USING
WORD AMBIGUITY RESOLUTION

This invention relates to resolution of word ambiguity in the context of a sentence structure having a plurality of word choices for at least one word position. More particularly, sentences entered by use of a three letter per
5 key telephone keypad are reconstructed using syntax-based word use rule sets reflecting word sequence and word category positioning usage in a selected language.

BACKGROUND OF THE INVENTION

In entry of text by use of a standard typewriter form
10 of keyboard each key stroke represents a single letter on a non-ambiguous basis. For many applications it is desirable to be able to enter text by use of a readily available telephone having a standard telephone keypad. For example, such text entry would enable an untrained caller to
15 communicate with a hearing-impaired person from any available telephone. However, telephone keypads are overloaded with three letters to a single key which creates ambiguities as to which letter is intended by a single key stroke. Prior approaches toward resolving the ambiguities
20 have typically required two or more key strokes for entry of each letter. Resulting text entry routines have been cumbersome, slow and frustrating to use.

The marriage of computing and telecommunications has

spawned an amazing variety of new services and capabilities. ~
Many individuals are currently excluded from participation
because they do not have ready access to an alphanumeric
terminal and modem. However, most homes in many countries
5 already contain a simple on-line terminal in the form of a
telephone. Anyone who has ever placed a telephone call is
familiar with the fact that the 2-9 keys of a standard phone
dial are each labeled with three letters of the alphabet
(the letters Q and Z do not appear). These labels have been
10 used to provide mnemonics to aid in memorizing phone numbers
(such as 1-800-FLOWERS) and in modern messaging systems for
entering single word strings (such as a database of employee
names in a company switchboard application). However, the
overloading of three letters on a single key creates a
15 potential ambiguity as to which character was intended.

Resolution of this overloading ambiguity is necessary
for unambiguous text entry. For applications involving a
small, predetermined vocabulary (such as the switchboard
application noted above), a simple hashing scheme will
20 suffice. However the problem becomes considerably more
difficult if arbitrary text is to be entered (i.e., text
entry free of predetermined constraints on word usage).

A variety of different text entry conventions have been
proposed for use with telephone keypad to resolve or reduce
25 ambiguities resulting from the multi-letter keys. Without
attempting to address all prior techniques, it can be noted
that numerous prior approaches have addressed ambiguity on a
letter level. For example, "L" is the third character on

the number 5 key and an unambiguous entry can be made by pressing the 5 key three times, followed by pressing the 0 key to indicate completion of entry of the third letter on the 5 key. Alternatively, L can be entered by pressing the 5 key followed by another key predesignated to indicate the third letter on the 5 key. In each case the letter L is unambiguously entered, but a multi-stroke procedure must be learned and then carried out for each letter.

Other approaches have addressed ambiguity resolution at a word level. Typically, if three keys are activated to represent a three letter word, for example, a dictionary type word list can be accessed to identify all English words formable by the permutations of the three letters represented in each of the three successive letter positions. One approach is to limit word possibilities to a restricted list of words, names or locations so that it is predetermined that only one word can be represented by that specific three key sequence. A word level approach is discussed in the article, "Let Your Fingers Do the Spelling: Disambiguating Words Spelled With the Telephone Keypad", J. R. Davis, AVIOS Journal, 9:57-66, March 1991. Unambiguous operation with a limited word list of streets, words or people is discussed. This article specifically acknowledges the absence of any capability to go beyond a word level operation constrained by a predefined limited word list, concluding that: "Implicit disambiguation does not appear feasible for entering unrestricted English text".

A word level approach which permits less restricted

text entry is described in U.S. patent No. 4,650,927, issued March 17, 1987. This patent recognizes the basic inability to choose the correct word when the text entry via a telephone keypad results in a list of two or more word possibilities for a particular word position. Instead of reducing word ambiguity by limiting the list of acceptable words, this patent presents the list of possible words to the human recipient of a message. Users presented with such word choices must then use their own judgment in selecting the word which makes the most sense to them in the sequential context presented to them.

Basic shortcomings of all known prior approaches are that they have been either slow and cumbersome if operating on a letter level of ambiguity resolution, or limited in overall capability and performance if operating on a word level of ambiguity resolution. No known prior approach has provided a practical capability of ambiguity resolution on a sentence level utilizing syntax relationships regarding usage of words in a selected language.

Objects of the present invention are, therefore, to provide new and improved sentence reconstruction methods and systems having one or more of the following capabilities:

- resolution of word ambiguities based on syntax relationships regarding word usage;
- unrestricted text entry via a telephone keypad;
- word ambiguity resolution based upon probabilities of word usage and probabilities of one or both of particular word sequences and positioning of words of

- particular word categories; and
- simple text entry protocol.

SUMMARY OF THE INVENTION

In accordance with the invention, a sentence reconstruction method, for resolving word ambiguities in a selected language sentence structure entered using single stroke activation of a key set including text entry keys each representing a group of letters, includes the following steps:

- 10 (a) providing first key stroke indicia each ambiguously representing a letter of a group of letters and second key stroke indicia including indicia representing spaces between words;
- (b) partitioning (by use of the second key stroke indicia) the first key stroke indicia into a sequence of word positions, each word position comprising a code block represented by at least one of the first key stroke indicia;
- 15 (c) accessing a database including a word list to identify for an individual word position a word group including alternative word choices formable from the letter groups represented by the code block for such word position;
- 20 (d) repeating step (c) for the sequence of word positions to identify a corresponding word group including at least one word choice for each of a plurality of word positions;
- 25 (e) utilizing a stored word use rule set

representative of relative frequency of particular word usage in the selected language to derive, for the word group for one of the word positions, probability values for word choices for that word position;

5 (f) utilizing a stored language rule set representative of usage in the selected language to derive probability values for a sequencing of individual word choices for the word position relative to at least one word choice for an adjacent word position in the sentence structure, said language rule set including rules in the following categories (i) rules based on transitional probability of use of particular word sequences, and (ii) rules based on probability of relative positioning of words of particular word categories in a sentence structure;

15 (g) repeating steps (e) and (f) for any additional word positions having an associated word group including a plurality of alternative word choices; and

 (h) selecting, by use of the probability values derived in steps (e) and (f), one word from each word group for inclusion at a respective word position in a reconstructed sentence structure.

 In a preferred embodiment of the sentence reconstruction method, in step (a) the second key stroke indicia additionally includes indicia representing a period delimiting the sentence structure and step (b) additionally includes partitioning the word positions into at least one sentence. Between steps (c) and (d) an additional step may also be included as follows:

(x) for each word position for which no word group including at least one word is identified in step (c) utilizing a stored word assembler to attempt to identify at least one of a suffix construction, a prefix construction and a combination word construction, and to thereby identify a word group including at least one word for the word position.

The sentence reconstruction method may additionally include the following step:

(j) using the words selected in step (h) to provide a reconstruction of the entered sentence structure in at least one of the following forms: a viewable display, a printout, a synthesized voice output.

For a better understanding of the invention, together with other and further objects, reference is made to the accompanying drawings and the scope of the invention will be pointed out in the accompanying claims.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram of an embodiment of a sentence reconstruction system in accordance with the invention.

Fig. 2 shows a telephone keypad.

Fig. 3 illustrates phases of a sentence reconstruction method using the invention.

Fig. 4 illustrates a blank resolution technique usable in the Fig. 3 method.

Fig. 5 illustrates word ambiguities resulting from text entry via a telephone keypad.

Fig. 6 illustrates telephone keypad code strings with greatest ambiguities.

5 Fig. 7 is a trellis diagram useful in describing operation of the invention.

Fig. 8 shows samples of word tags used in accordance with the invention.

Fig. 9 is useful in considering a performance analysis.

10 Fig. 10 is useful in describing results achieved in use of the invention.

Fig. 11 is a sample of reconstructed sentence structure provided by operation of the invention.

15 Fig. 12 is a flow diagram useful in describing a sentence reconstruction method in accordance with the invention.

DESCRIPTION OF THE INVENTION

Fig. 1 illustrates an embodiment of a sentence reconstruction system in accordance with the invention.

20 Following a brief description of the Fig. 1 system, theory and operation of a sentence reconstruction method using the invention will be described in greater detail.

As shown, the Fig. 1 system includes an entry device, shown as telephone 10 having a keypad as represented in Fig.

25 2. When a user presses a three-letter key, such as one of keys 2-9 in Fig. 2, the entry device 10 provides a first key

stroke indicia in the form of a telephone tone signal which can be transmitted via a telephone system, received and stored in memory (e.g., in digital form) in known manner.

As will be discussed further, second key stroke indicia can

5 also be provided, as by pressing the asterisk key to indicate a blank character (e.g., a space between words) or by pressing the pound key to indicate a sentence limit or termination. The entry device 10 is thus usable to enter text in the form of one or a series of sentence structures.

10 For present purposes, "a sentence structure" is defined as a complete sentence, a sentence fragment, a phrase, a clause or other sequence of words put together in view of one or more syntax considerations based on word usage in a selected language, such as English.

15 A processor, shown as CPU 12 which may comprise a microprocessor, is responsive to entry device 10 to cause the first and second key stroke indicia, coupled via input terminal 11, to be stored in memory unit 14. It will be appreciated that entry device 10 may typically be any
20 telephone of a type having a keypad for providing standard tone signals and may be at any convenient remote or other location. Different telephones may be connected at different times and may have touch panels or other arrangements permitting text entry. As shown, memory unit
25 14, which may be any appropriate type or form of storage device or devices, includes a data memory portion 16 and a program memory portion 18. Data memory portion 16 includes both database storage as will be described and text storage

suitable for storage and retrieval of first and second key stroke indicia resulting from entry of one or a series of sentence structures via telephone 10.

The database storage of data memory portion 16 is arranged in accordance with an embodiment of the invention to store the following. A stored word list (which may include on the order of 50,000 words representative of all relatively commonly used English words) is usable to identify for an individual word position a word group including all alternative word choices formable by permutation of the letter groups represented by a code block of the letters carried by the keys activated for entry of a particular word. A stored word use rule set is arranged to be representative of relative frequency of particular word usage in the selected language in order to enable derivation of probability values for word choices in a sentence structure. A stored language rule set representative of usage in the selected language enables derivation of probability values for word sequencing (e.g., for sequencing of individual word choices or candidates for a given word position, relative to a word choice for an adjacent word position in a sentence structure). The language rule set includes rules in the following categories (i) rules based on transitional probability of use of particular word sequences, and (ii) rules based on probability of positioning of words of particular word categories in a sentence structure. Thus, category (i) may provide the relative probability that two particular words will be used

in sequence in common usage in the English language.

Category (ii) may, for example, provide the probability that a word categorized as a noun will appear at the end of a sentence. The nature of these rule sets will be further described.

The program memory portion 18 of the memory unit 14 is arranged in accordance with this embodiment of the invention to comprise the following which may be considered as program units usable with the CPU 12 to practice the invention. A partitioning unit 18a is responsive to the second key stroke indicia to partition the first key stroke indicia, as stored in memory portion 16, into a sequence of word positions. Each word position will thus comprise a code block represented by at least one of the first key stroke indicia (e.g., indicia of activation of the ghi key). An access unit 18b is responsive to the code block for each word position to access the word list stored in data memory portion 16 to identify for an individual word position a word group including alternative word choices formable from the letter groups represented by the code block. It will be appreciated that for some code blocks the letter group will uniquely represent only one word (in the simplest case, the abc letter group represents only the word "a"). However, it is most likely at least one code block of a sentence structure will provide letter groups formable into a plurality of words, presenting a word ambiguity addressable by the invention. A word probability unit 18c stored in program memory portion 18 is arranged to utilize the word

use rule set stored in data memory portion 16 to derive, for the word group for each word position, probability values for word choices for each of the word positions (e.g., based on frequency of occurrence in common English usage). A

5 syntax unit 18d is arranged to utilize the rules of categories (i) and (ii) of the language rule set stored in data memory portion 16. The syntax unit is thus enabled to derive probability values for a sequencing of alternative word choices for individual word positions relative to word

10 choices for adjacent word positions in the sentence structure (e.g., based on two word sequences and word category positioning as discussed). A selection unit 18e is arranged to utilize the probability values derived in units 18c and 18d to select one word choice from the word group

15 for each word position for inclusion at the respective word position in the sentence structure. As will be described further, the word choices selected for inclusion in the reconstructed sentence structure will typically represent the word choices associated with the highest probability

20 values or costs as derived. Program memory portion 18 may also store a word assembler unit 18f for identification of suffix construction, prefix construction and combination word construction with respect to word positions for which access unit 18b is unable to identify any word choice from

25 the word list which corresponds to the letter groups represented by the code block for the respective word position.

The Fig. 1 sentence reconstruction system further

includes an output device to provide a representation of the entered sentence structure (in the form of the sentence structure as reconstructed) for use by an individual utilizing the system. As illustrated, the output device may comprise a display 20 providing a viewable display of the sentence structure, a printer 22 providing a hard copy printout, a speaker 24 providing a synthesized speech output, or other appropriate device or combination thereof suitable for making the sentence structure available in a human perceivable format. The invention has been described in the context of a single sentence structure, such as a complete sentence, which may be a portion of more extensive text including a series of sentence structures.

BASIC OPERATION

As background to a description of operation, considerations relevant to the bounds of achievable text reconstruction under information theory constraints will be briefly addressed. Before attempting to reconstruct English text from single-key phone codes, it should be established that there is enough information available to make such a reconstruction possible. Formally, an information source S with a repository of possible messages M_k , $1 \leq k \leq n$ selects one message for transmission. Information theory defines the information content or *source entropy* $H(S)$ of a set of messages in terms of the probability $P(M_i)$ that this message is chosen by the source, as the average value of information associated with each of the messages as represented in the following equation.

$$H(S) = - \sum_{i=1}^n P(M_i) \log_2 P(M_i)$$

The source entropy $H(S)$ denotes the average information content conveyed per message, in units of bits. The source entropy reaches its maximum for the case of equally likely messages, when $H(S) = \log_2 n$.

5 For present purposes, the message source is the English text itself and measuring the true entropy of English is a non-trivial problem. If one imagines the message source to consist of 26 letters, the space and the period, each of which are used with equal probability, then the source
10 entropy is $\log_2 28 = 4.81$ bits per letter. Since the information content which can be transmitted by using the phone keypad is at most $\log_2 10 = 3.32$ bits per keystroke, there remains a considerable deficit which must be overcome.

 English, however, does not use all letters with an
15 equal probability. Using the probabilities from the Brown Corpus (as provided in Frequency Analysis of English Usage: Lexicon and Grammar, edited by W.N. Francis, Houghton Mifflin, Boston, 1982) the source entropy of first-order English drops to 4.16 bits per letter. However, standard
20 assignment of letters to phone keys is not optimal and lowers the transmitted entropy to approximately 3.07 bits per letter, leaving a deficit of over one bit per symbol. A better measure of the entropy of English comes from considering entire words or sentences as messages, rather
25 than the individual letters. On a word-by-word basis,

estimates of the entropy of English drop to below 2.2 bits
per letter (see C.E. Shannon, "Prediction and Entropy of
Printed English", Bell System Technical Journal, 30:50-64,
1951). In a series of experiments on full English text,
5 Shannon obtained an estimate of the entropy of English as
between 0.6 and 1.3 bits per letter. Since the information
capacity of telephone keycodes exceeds Shannon's estimate of
entropy, in principle it is possible to accurately
reconstruct the source text by exploiting context and other
10 linguistical constraints.

A reconstruction system using the present invention
takes as input a stream of digits, and outputs a maximum-
likelihood reconstructed English source text. The following
input convention is used in a preferred embodiment. To
15 supplement the traditionally-labeled keys 2-9, the asterisk
key * is used for the letters Q, Z and þ, the blank
character. The pound key # serves as sentence delimiter.
The 0 and 1 keys have no meaning and could be used for
additional features. Hyphens and other punctuation are
20 omitted or substituted by blank characters. Ordinal and
cardinal numbers are entered by spelling the numbers out in
this exemplary convention which ends each sentence with a #.
The reconstruction proceeds on a sentence-by-sentence basis --
in four phases as represented in Fig. 3.

25 Initially, blanks are identified by use of partitioning
unit 18a stored in program memory portion 18. The first
phase partitions the input stream into word positions or
tokens by recognizing the blank characters, and is rendered

non-trivial because of overloading the * key with Q and Z in the chosen input convention. Since blanks are much more common than Q and Z, simply marking all asterisks as blank would be correct 98.99% of the time. However, a better job of identifying the real blanks can be done.

The decision as to whether an asterisk represents a blank depends on the character context of the asterisk. A window of three characters preceding and following the asterisk is considered as context, as in Fig. 4. These pre-asterisk and post-asterisk trigrams are composed of phone codes, resulting in 10^3 possible combinations for each trigram. For each trigram, there is tabulated how often the asterisk was intended as Q, Z, or blank, yielding six quantities:

- T_1^c : How often did the letter $c \in \{Q, Z, \emptyset\}$ occur as the fourth character of the pre-asterisk trigram?
- T_2^c : How often did the letter $c \in \{Q, Z, \emptyset\}$ occur as the first character of the post-asterisk trigram?

The blank confidence is defined as represented by the following equation.

$$C(*-\emptyset) = \frac{T_1^\emptyset + T_2^\emptyset}{\sum_{c=Q, Z, \emptyset} (T_1^c + T_2^c)}$$

The asterisks which are classified with high confidence as \emptyset are now so labeled, while other asterisks remain unidentified until later phases of our algorithm. In setting the confidence threshold $C(*-\emptyset) = 1.0$, 81.63% of all asterisks are marked as \emptyset while making no mistakes.

Using lower confidence thresholds to classify the asterisks significantly increases the number of blanks correctly marked, at a cost of a small number of errors. The best accuracy for reconstructing asterisks is obtained for a marking threshold of 99.97%. The overall blank recognition accuracy is then 99.91%. Of course, if the text input convention were changed to use the asterisk key only for blank identification this accuracy figure would be 100%.

Word groups are then identified by use of word list access unit 18b stored in program memory portion 18. For each token or word position there is identified a word group of alternative word choices or candidates formable from the letter groups represented by a respective code block reflecting one or more keystrokes. The word group is identified by accessing a stored dictionary-type word list of English words and determining which words hash to the key codes of the token.

Unknown words are addressed in word assembler unit 18f stored in program memory portion 18. If no dictionary word entry exists for the token, a character interpretation is constructed using partial dictionary matches, affix/suffix analysis, and by optimizing over character probabilities and transitional letter probabilities.

Sentence reconstruction can now proceed. A sentence is composed of a list of tokens terminated with a period. Each token is now labeled with a list of one or more character interpretations, defining a set of possible sentence interpretations. A dynamic programming algorithm is applied

to eliminate candidates which are unlikely because of word-pair probabilities and grammatical constraints probabilities, and returns the most likely word sequence.

DETAILED OPERATION

5 Aspects of the system operation will be considered in greater detail. The blank recognition process partitions the input stream into sequences of code strings or code blocks. Each code sequence represents a small number of consecutive English words, separated by the remaining
10 unlabeled asterisks.

 The dictionary matching phase seeks to map each code sequence with a unique word in a word list dictionary of nearly 50,000 words. Most of the words in the dictionary map to unique phone code sequences, however, approximately
15 16% of the phone codes collide with other dictionary words. The distribution of collisions is shown in Fig. 5. As an extreme case, the code string "22737" collides with eleven distinct English words, as shown in Fig. 6. Designing a good word list involves tradeoffs. All common words must be
20 in the word list, although adding very seldom-used words might decrease the overall accuracy due to increased collisions with other words. The word list was built from an available word list enhanced by other common words. Abbreviations such as BMW and ACM were removed, yielding a
25 word list with 53324 words hashed to 44474 code entries. The lookup succeeds with the chosen word list for about 80% of all tokens in unrestricted text entry.

 For the case of tokens that can not be resolved in the

previous phase a series of further reconstruction attempts is made by use of a word assembler unit 18f stored in memory portion 18. If the dictionary lookup fails, one reason might be that the code contains more than one English word.

5 Thus an attempt is made to find a dictionary entry for a segment of the code which is delimited by an asterisk and starts at character position 0. If the attempt succeeds the code string is split at the asterisk position and a new token is created for the rest of the string. Single letters
10 are not split off. For the new token with the code remainder the process restarts. Many tokens contain only two English words, and would be found in the dictionary had the separating asterisk had been interpreted as blank. If the split fails, the code string is checked for possible
15 affixes. Suffixes and prefixes which are found in the code are stripped off, and the remaining string is looked up in the dictionary. If multiple entries exist, the most frequent entry is used. If no entry is found, the affix is discarded and the code is passed to the next subphase.

20 For words which are completely unknown to the dictionary, such as proper names and foreign terms, a recursive match procedure is used. The decode suggestion is assembled from partial matches of the code and dictionary entries. The final candidate is chosen from all possible
25 matches according to the following preference list:

- (1) The candidate with the most reconstructed characters wins.
- (2) The candidate with the fewest partial matches wins.

(3) The candidate with the most preserved word boundaries wins, i.e., asterisks which turn to blanks.

This match routine is not able to reconstruct certain kinds of words that can not be constructed from English words, such as foreign names. To catch these cases a rejecting rule is applied: a string is accepted if it consists of at most two more partial matches than the number of contained blanks. Otherwise it is rejected.

For the remaining unknown words a dynamic programming algorithm is applied. A second-order transitional probability model is used, based on the probability of observing letter L_i given previous letters $L_{i-2}L_{i-1}$, as estimated using the Brown Corpus. The Viterbi algorithm is a known form of dynamic programming algorithm for finding a global optimum for the given probabilities. It will be further described below, applied to words of a sentence rather than letters of a word.

The input for proceeding with sentence reconstruction is thus a list of tokens corresponding to a sentence of the text input. Each token or word position contains a word group including a list of one or more possible interpretations for its code block. Depending on the size of these interpretation lists, there may be an exponential number of possible sentence candidates. Grammatical constraints are employed within the Viterbi algorithm to eliminate unlikely or implausible candidates, to find the most likely sentence for the phone code string. The Viterbi algorithm is employed as follows. Let $X = X_0X_1 \dots X_mX_{m+1}$

denote a given sequence of phone code tokens, where the X_i are the isolated word codes and X_0 and X_{m+1} are the sentence delimiters. Further, let $Z = Z_0 Z_1 \dots Z_m Z_{m+1}$ be an English sentence consistent with X , where Z_0 and Z_{m+1} are periods.

5 The Viterbi algorithm seeks the interpretation Z that maximizes $P(Z/X)$, the probability that Z caused X . It takes into account:

$P(Z_i/X_i)$, the probability that the word Z_i was intended when the code X_i was observed; and

10 $P(Z_i/Z_{i-1})$, the transitional probability that the word Z_i is observed when the previous word was Z_{i-1} .

A directed graph or trellis as illustrated in Fig. 7 represents the word lattice that forms the set of sentence candidates. Each of the nodes and edges have values or costs assigned to them. The node costs are the word probabilities for the observed code, while the edge costs are the transition probabilities between word pairs. Any path between the start and end nodes represents a possible sentence. The Viterbi algorithm was used to find the maximum-cost path in the trellis using dynamic programming. A detailed description of the Viterbi algorithm is provided in "Experiments in Text Recognition With Binary N-gram and Viterbi Algorithms", IEEE Trans. Pattern Analysis and Machine Intelligence, PAMI-4:520-530, 1982. With an understanding of the invention, skilled persons will also be enabled to use hidden-Markov-models, neural networks or other analysis approaches in implementation of the invention.

To compute the word transition probabilities, all word pairs in the Brown Corpus were examined. Each entry in the word list dictionary contains the list of words which follow it, and the corresponding transitional probabilities. To account for word pairs which did not occur in the corpus, $P(W_{i+1}/W_i) = 1/\text{count}_{W_i}^2$ is used to estimate the probability of word W_{i+1} given W_i , which itself occurred count_{W_i} times in the corpus. Since the transition probability is at least $P(W'_{i+1}/W_i) = 1/\text{count}_{W_i}$ if word pair $W'_{i+1}W_i$ occurs in the corpus, this gives preference to the pair which actually appeared. However, W'_{i+1} might have a larger stand-alone frequency than W_{i+1} , and thus lead to a more costly or higher value path and hence better interpretation.

The Viterbi algorithm takes word and word-pair frequencies into account, but no grammar rules are considered. Thus the selected sentence may not be grammatically plausible. To ensure implausible sentences are rejected, each word in the dictionary is augmented with a tag that indicates the corresponding grammatical word category. The Brown Corpus contains about 30 different word tags, which again might be combined with each other for word categories. Examples of word tags are shown in Fig. 8. A total of 132 combinations were used, each of which became a word category in the underlying dictionary. The words that appear with more than one category became multiple entries in the dictionary each with a different tag and with its own

frequency count. Word-pair frequencies were collected for the words in regard to their word category tags.

A corpus was created solely of tags and the period. From that collection of sentences, bigram (word pair) frequencies for the tags were collected. From that data, transitional probabilities for the tags were derived, to be taken into account by the Viterbi algorithm as additional edge cost probability values. The word and word-pair frequency costs of the standard Viterbi algorithm were combined with the tagged costs. For some constant $0 \leq c \leq 1$, $\text{Cost}_{\text{path}} = (1-c) \cdot \text{Cost}_{\text{stand}} + c \cdot \text{Cost}_{\text{tags}}$ trades off the grammatical categories of the word nodes with their transitional probabilities. After experimentation, $c = 0.4$ was found to give the best results. The Brown Corpus is the source for the word categories. The augmented dictionary contained words which did not appear in the corpus, and were hence untagged, effecting performance. To reduce the amount of words without tags, some tagging 'by hand' was performed on the dictionary.

A performance analysis of results achieved by use of the reconstruction system utilizing the invention is provided in Fig. 9. Shown are the percentage of characters/words identified, errors made, and time spent for each phase of the algorithm. Of the total errors 71% were made in reconstructing words not in the dictionary, although over 87% of the characters in such words were correctly reconstructed.

Several text samples as identified in Fig. 10 were

encoded by the telephone keypad code and reconstructed by the system. These samples were passed through a filter to spell out ordinal and cardinal numbers which occurred in the texts. The system performed remarkably well, correctly

5 decoding more than 95% of all characters in all samples, and greater than 99% of the words used in selected speeches of President Clinton, which are considered the most representative of modern English of these text samples.

Fig. 12 provides an analysis of the effectiveness of the different phases of operations. The unknown-word analysis accounts for the largest share of errors, which is not surprising since none of these words occur in the word list dictionary as assembled. Still, the system correctly

10 identified over 87% of the characters in these words.

15 Sentence disambiguation (i.e., selection of the correct word in cases of ambiguity) accounts for most of the computing time and almost all of the remaining errors, however the accuracy is still remarkably high. It is not believed that significantly higher accuracy rates are possible without

20 actual comprehension of the text, which is clearly an unsolved and possible unsolvable problem.

Fig. 11 provides an actual example provided by operation of a reconstruction system utilizing the invention. The Gettysburg Address was entered using a

25 standard type of three-letter-per-key telephone keypad. After reconstruction by the system the Address included only the four reconstruction errors which are shown highlighted.

With reference now to Fig. 12, there is provided a flow

chart useful in describing a sentence reconstruction method in accordance with the invention. At 30 there is represented a sentence structure in the form of an English language sentence to be entered. Fig. 12 particularly
5 relates to the resolving of word ambiguities in English language sentence structures entered using single stroke activation of a standard type of telephone key set including number keys which are usable as text entry keys which each ambiguously represent a group of three letters. The method
10 includes the following steps:

(a) at step 32 providing first key stroke indicia each ambiguously representing a letter of a group of letters and second key stroke indicia including indicia representing spaces between words;

15 (b) at step 34 partitioning, by use of the second key stroke indicia, the first key stroke indicia into a sequence of word positions, each word position comprising a code block represented by at least one of the first key stroke indicia;

20 (c) at step 36 accessing a database including a word list to identify for an individual word position a word group including alternative word choices formable from the letter groups represented by the step 34 code block for such word position;

25 (d) at step 38 repeating step 36 for the sequence of remaining word positions to identify a corresponding word group including at least one word choice for each of a plurality of word positions;

(e) at step 40 utilizing a stored word use rule set representative of relative frequency of particular word usage in the selected language to derive (for the word group for one of the word positions) probability values for word choices for that word position;

(f) at step 42 utilizing a stored language rule set representative of usage in the selected language to derive probability values for a sequencing of individual word choices for such word position relative to at least one word choice for an adjacent word position in the sentence structure, the language rule set including rules in at least one of the following categories (i) rules based on transitional probability of use of particular word sequences, and (ii) rules based on probability of relative positioning of words of particular word categories in a sentence structure;

(g) at step 44 repeating steps 40 and 42 for any additional word positions having an associated word group including a plurality of alternative word choices;

(h) at step 46 selecting, by use of the probability values derived in steps 40 and 42, one word from each word group for inclusion at a respective word position in the sentence structure; and

(j) at step 48 using the words selected in step 46 to provide a reconstruction of the entered sentence structure in at least one of the following forms: a viewable display, a printout, a synthesized speech output.

A sentence reconstruction method as described may

desirably be implemented so that the language rule set utilized in step 42 includes rules in both of the categories (i) and (ii) and step 46 comprises selecting words for inclusion in the sentence structure based upon the highest relative probability values as derived in steps 40 and 42. Particularly for entry of a series of sentences, in step 32 the second key stroke indicia additionally includes indicia representing a period delimiting the sentence structure and step 34 additionally includes partitioning the word positions into at least one sentence. As discussed above, some word constructions may result in the absence of a match upon accessing of the stored word list. Such non-match cases can be addressed by including between steps 36 and 38 an additional step as follows:

(x) for each word position for which no word group including at least one word choice is identified in step 36, utilizing a stored word assembler unit to attempt to identify at least one of a suffix construction, a prefix construction and a combination word construction, and to thereby identify a word group including at least one word choice for such word position. The word assembler unit would typically be an additional program unit 18f stored in program memory portion 18.

The invention has been described in the context of use with a particular text entry convention and application to entry of English language text via a telephone type keypad. With an understanding of the invention it will be apparent to skilled persons that the invention may be utilized in a

wide variety of conventions and applications. Thus, the zero key or any other suitable convention can be employed for entry of the letters Q and Z for example. Also, text represented in computer memory may be the result of many forms of text entry characterized by the potential for ambiguity as to which specific word is intended to be present at a particular word position in a sentence structure. Other examples and applications arising from use of ambiguous or overloaded keyboards include:

- Text entry for languages with large alphabets. In languages such as Chinese, which use character sets with thousands of symbols, text may be entered using a conventional typewriter keyboard. Thus, the user may type in a phonetic pronunciation of each character and the resulting character ambiguity can be addressed by sentence reconstruction in accordance with the invention.

- Minimizing hand motion on a QWERTY keyboard. To speed text entry or minimize required finger motion on a conventional QWERTY keyboard (implicated in physical stress ailments such as carpal-tunnel syndrome) a typist can type without moving fingers from the "home" row of keyboard keys. Thus, one home key is used to ambiguously represent the home key letter, as well as the letters on the adjacent keys above and below the home key. With use of the invention on a word processor, for example, the ambiguous entered text would be reconstructed and displayed to the typist, who could then correct any reconstruction errors.

- Customized keyboards for text entry. Improved,

simplified and faster keyboards can be provided for applications such as court stenography, one-handed chord keyboards, or smaller special purpose keyboards for portable computers. Resulting ambiguities in entered text can then
5 be addressed by sentence reconstruction using the invention.

- Keyboards for the disabled. Severely handicapped persons may not have enough physical control or range of movement to use a full keyboard. A special keyboard may include only six to ten keys. Entry of text with such
10 simplified keyboards will result in ambiguities addressable by use of the present invention. Text can thus be entered with a significantly reduced range of movement.

Other examples of activities resulting in word ambiguities to which the invention can be applied include
15 the following. Voice recognition of spoken or dictated sentences produces computerized storage of spoken words which may be incorrect or ambiguous because of an inability of the voice recognition system to accurately select the correct word between words which sound alike and for a
20 variety of other reasons such as mispronunciation. If a computerized system is adopted to translate from a foreign language into English, for example, certain of the foreign words may have several potentially correct English counterparts, with the translation system unable to
25 accurately choose among the English alternatives. Text input in hurried or inexpert fashion via a standard typewriter-type keyboard may result in entered text containing enough errors that for particular word positions

the entered letters could represent more than one word. In these and other applications, there can exist ambiguities as between which of several possible words is intended to be presented at a particular word position. In the telephone keypad text entry example, for a particular word position the word group is determined by available permutations of the three telephone key letters for each letter position of a word. Other word association criteria for other applications include phonetic word association, similarly spelled word association, definitional alternatives of translated words, etc. In view of the described capabilities of systems and methods in accordance with the invention, it will be apparent that entry unit 10 of Fig. 1 can utilize or implement any one of a variety of sentence structure input techniques or configurations effective to result in storage in memory portion 16 of a sentence structure with one or more word ambiguities susceptible to sentence structure reconstruction methods and systems utilizing the invention. Further, while the invention is described with reference to English as the selected language, it can also be applied for use with other languages.

For purposes of example, particular applications of the invention may include communication with hearing impaired persons by text entry from any telephone-type keypad without telephone operator intervention. The hearing impaired person could use a full keyboard and computer screen; with text-to-speech synthesizer feedback enabling the telephone

keypad user to hear results of his keypad input. For E-mail -
without a terminal a telephone user would be enabled to
write E-mail and receive E-mail messages via a speech
synthesizer. In voice response systems limitations
5 currently require some user inquiries to be recorded for
later action. With the invention a user's name, address and
other information could be entered and reconstructed with
sufficient fidelity to enable requested literature to be
mailed without human intervention, for example.

10 While there have been described the currently preferred
embodiments of the invention, those skilled in the art will
recognize that other and further modifications may be made
without departing from the invention and it is intended to
claim all modifications and variations as fall within the
15 scope of the invention.

WHAT IS CLAIMED IS:

1 1. A sentence reconstruction method, for resolving
2 word ambiguities in a selected language sentence structure,
3 comprising the steps of:

4 (a) storing a sentence structure having a
5 sequence of word positions with at least one word position
6 represented by a word group including alternative word
7 choices;

8 (b) utilizing a stored word use rule set
9 representative of relative frequency of particular word
10 usage in said selected language to derive, for the word
11 group for one of said word positions, probability values for
12 word choices for said word position;

13 (c) utilizing a stored language rule set
14 representative of usage in said selected language to derive
15 probability values for sequencing of individual word choices
16 for said word position relative to at least one word choice
17 for an adjacent word position in said sentence structure,
18 said language rule set including rules in both of the
19 following categories (i) rules based on transitional
20 probability of use of particular word sequences, and (ii)
21 rules based on probability of relative positioning of words
22 of particular word categories in a sentence structure; and

23 (d) selecting, by use of said probability values
24 derived in steps (b) and (c), one word from each said word
25 group for inclusion at a respective word position in a
26 reconstructed sentence structure.

1 2. A sentence reconstruction method as in claim 1,
2 wherein step (a) comprises accessing a database including a
3 word list including word groups of alternative word choices
4 for particular word positions generated by use of
5 predetermined word association techniques.

1 3. A sentence reconstruction method as in claim 2,
2 wherein said word association techniques comprise one of the
3 following: phonetic word association, similarly spelled word
4 association, and definitional alternatives of translated
5 words.

1 4. A sentence reconstruction method as in claim 1,
2 including between steps (a) and (b) an additional step as
3 follows:
4 (x) for each word position for which no word
5 group including at least one word choice is identified in
6 step (a), utilizing a stored word assembler unit to attempt
7 to identify at least one of a suffix construction, a prefix
8 construction and a combination word construction, and to
9 thereby identify a word group including at least one word
10 choice for said word position.

1 5. A sentence reconstruction method as in claim 1,
2 wherein in step (c) category (i) includes rules based on
3 transitional probabilities of use of particular word pairs.

1 6. A sentence reconstruction method as in claim 1,

2 including between steps (c) and (d) an additional step as
3 follows:

4 (y) repeating steps (b) and (c) for any
5 additional word positions having word groups including
6 alternative word choices.

1 7. A sentence reconstruction method as in claim 1,
2 wherein step (d) comprises selecting words for inclusion in
3 said reconstructed sentence structure based upon the highest
4 relative probability values as derived in step (c).

1 8. A sentence reconstruction method as in claim 1,
2 additionally including the following step:

3 (e) using the words selected in step (d) to
4 provide a representation of the reconstructed sentence
5 structure in at least one of the following forms: a viewable
6 display, a printout, a synthesized speech output.

1 9. A sentence reconstruction method as in claim 1,
2 wherein in step (a) said sentence structure is the stored
3 resultant of one of the following (i) computerized storage
4 of spoken words, (ii) typed input subject to transcription
5 errors, (iii) translation of text provided in a first
6 language into a second language, and step (a) additionally
7 includes generating, by computer use of a stored word list,
8 word groups of alternative word choices for particular word
9 positions by use of predetermined word association
10 techniques.

1 10. A sentence reconstruction method as in claim 9,
2 wherein said word association techniques comprise one of the
3 following: phonetic word association, similarly spelled word
4 association, and definitional alternatives of translated
5 words.

1 11. A sentence reconstruction method, for resolving
2 word ambiguities in a selected language sentence structure,
3 comprising the steps of:

4 (a) storing a sentence structure having a
5 sequence of word positions including at least one letter for
6 each word position;

7 (b) accessing a database including a word list to
8 identify for an individual word position a word group
9 including alternative word choices formable using at least
10 one letter included for said word position;

11 (c) utilizing a stored word use rule set
12 representative of relative frequency of particular word
13 usage in said selected language to derive, for the word
14 group for said word position, probability values for word
15 choices for said word position;

16 (d) utilizing a stored language rule set
17 representative of usage in said selected language to derive
18 probability values for sequencing of individual word choices
19 for said word position relative to at least one word choice
20 for an adjacent word position in said sentence structure,
21 said language rule set including rules in both of the

22 following categories (i) rules based on transitional
23 probability of use of particular word sequences, and (ii)
24 rules based on probability of relative positioning of words
25 of particular word categories in a sentence structure; and
26 (e) selecting, by use of said probability values
27 derived in steps (c) and (d), one word from each said word
28 group for inclusion at a respective word position in a
29 reconstructed sentence structure.

1 12. A sentence reconstruction method as in claim 11,
2 wherein step (b) comprises accessing a database including a
3 word list including word groups of alternative word choices
4 for particular word positions generated by use of
5 predetermined word association techniques.

1 13. A sentence reconstruction method as in claim 11,
2 including between steps (b) and (c) an additional step as
3 follows:
4 (x) for each word position for which no word
5 group including at least one word choice is identified in
6 step (b), utilizing a stored word assembler unit to attempt
7 to identify at least one of a suffix construction, a prefix
8 construction and a combination word construction, and to
9 thereby identify a word group including at least one word
10 choice for said word position.

1 14. A sentence reconstruction method as in claim 11,
2 wherein in step (d) category (i) includes rules based on

3 transitional probabilities of use of particular word pairs. -

1 15. A sentence reconstruction method as in claim 11,
2 including between steps (d) and (e) an additional step as
3 follows:

4 (y) repeating steps (c) and (d) for any
5 additional word positions having word groups including
6 alternative word choices.

1 16. A sentence reconstruction method as in claim 11,
2 wherein in step (a) said sentence structure is the stored
3 resultant of one of the following (i) computerized storage
4 of spoken words, (ii) typed input subject to transcription
5 errors, (iii) translation of text provided in a first
6 language into a second language, and step (b) additionally
7 includes generating, by computer use of a stored word list,
8 word groups of alternative word choices for particular word
9 positions by use of predetermined word association
10 techniques.

1 17. A sentence reconstruction method as in claim 16,
2 wherein said word association techniques comprise one of the
3 following: phonetic word association, similarly spelled word-
4 association, and definitional alternatives of translated
5 words.

1 18. A sentence reconstruction system to resolve word
2 ambiguities in a selected language sentence structure

3 comprising:

4 a memory unit arranged to store

5 - a sentence structure having a sequence of
6 word positions each comprising at least one
7 letter;

8 - a word list of words of said selected
9 language;

10 - a word use rule set representative of
11 frequency of particular word usage in said
12 selected language; and

13 - a language rule set including rules in both
14 of the following categories (i) rules based
15 on transitional probability of use of
16 particular word sequences, and (ii) rules
17 based on probability of relative positioning
18 of particular word categories in a sentence
19 structure;

20 a processor arranged to (i) use said word list to
21 identify, for said sequence of word positions, word groups
22 including alternative word choices formable using at least
23 one letter included for each said word position, (ii) use
24 said word use rule set to derive probability values for word
25 choices for the word group for each said word position, and
26 (iii) use both categories of rules of said language rule set
27 to derive probability values for sequencing of individual
28 word choices for individual word positions relative to at
29 least one word choice for an adjacent word position in said
30 sentence structure, and to select, by use of said

31 probability values, one word from each said word group for
32 inclusion at a respective word position in a reconstructed
33 sentence structure; and
34 an output device arranged to provide a
35 representation of said reconstructed sentence structure.

1 19. A sentence reconstruction system as in claim 18,
2 wherein said memory unit is arranged to store said language
3 rule set including rules in both of said categories (i) and
4 (ii), and category (i) includes rules based on transitional
5 probabilities of use of particular word pairs.

1 20. A sentence reconstruction system as in claim 18,
2 wherein said output device provides said representation in
3 the form of at least one of a viewable display, a printout,
4 and synthesized speech.

ABSTRACT OF THE INVENTION

Single stroke text entry via a telephone keypad is subject to ambiguities resulting from the overloading of three letters on one key. After text is entered the three letter per position code block represented by the key strokes for a word position is hashed to all matches in a stored dictionary type word list. The resulting word group of alternative word choices for that word position is subjected to probability analysis. Probabilities of usage of specific words are evaluated based on frequency of usage in the selected language, such as English. Syntax type probabilities of word sequencing are evaluated through (i) rules based on transitional probability of use of two particular words in sequence in English usage and (ii) rules based on probability of relative positioning of words of particular word categories (e.g., nouns and adjectives) in a sentence structure in English usage. A word trellis or lattice represents choice paths for alternative sentence structures. By selecting the path with the highest probability values, highly accurate sentence reconstruction is provided. In addition to use in the telephone keypad text entry context, described systems and methods are also applicable to a variety of word processing and other systems wherein computer stored text is subject to ambiguities as to intended words.

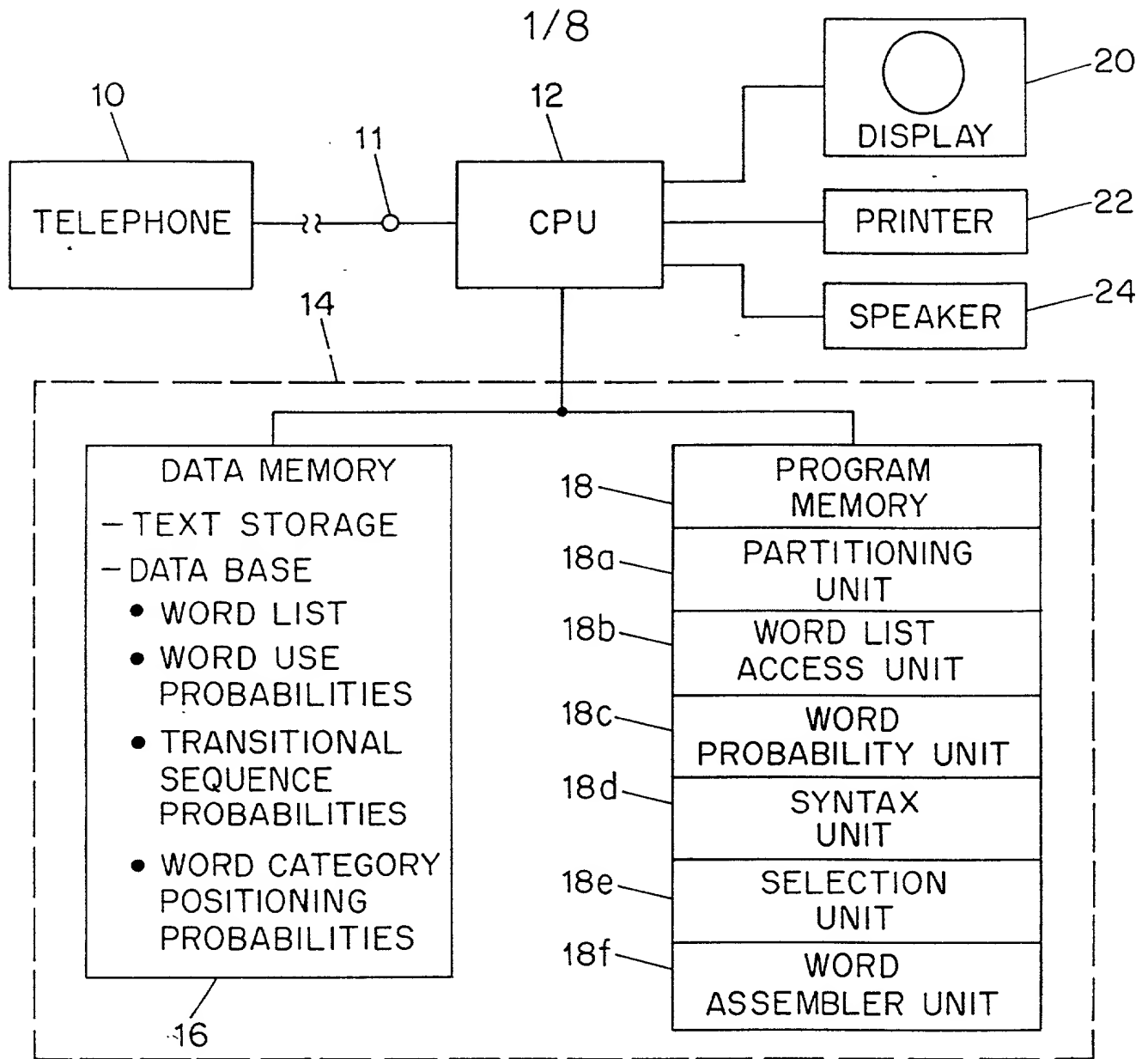


FIG. 1

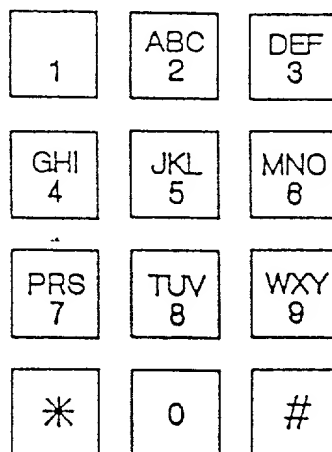


FIG. 2

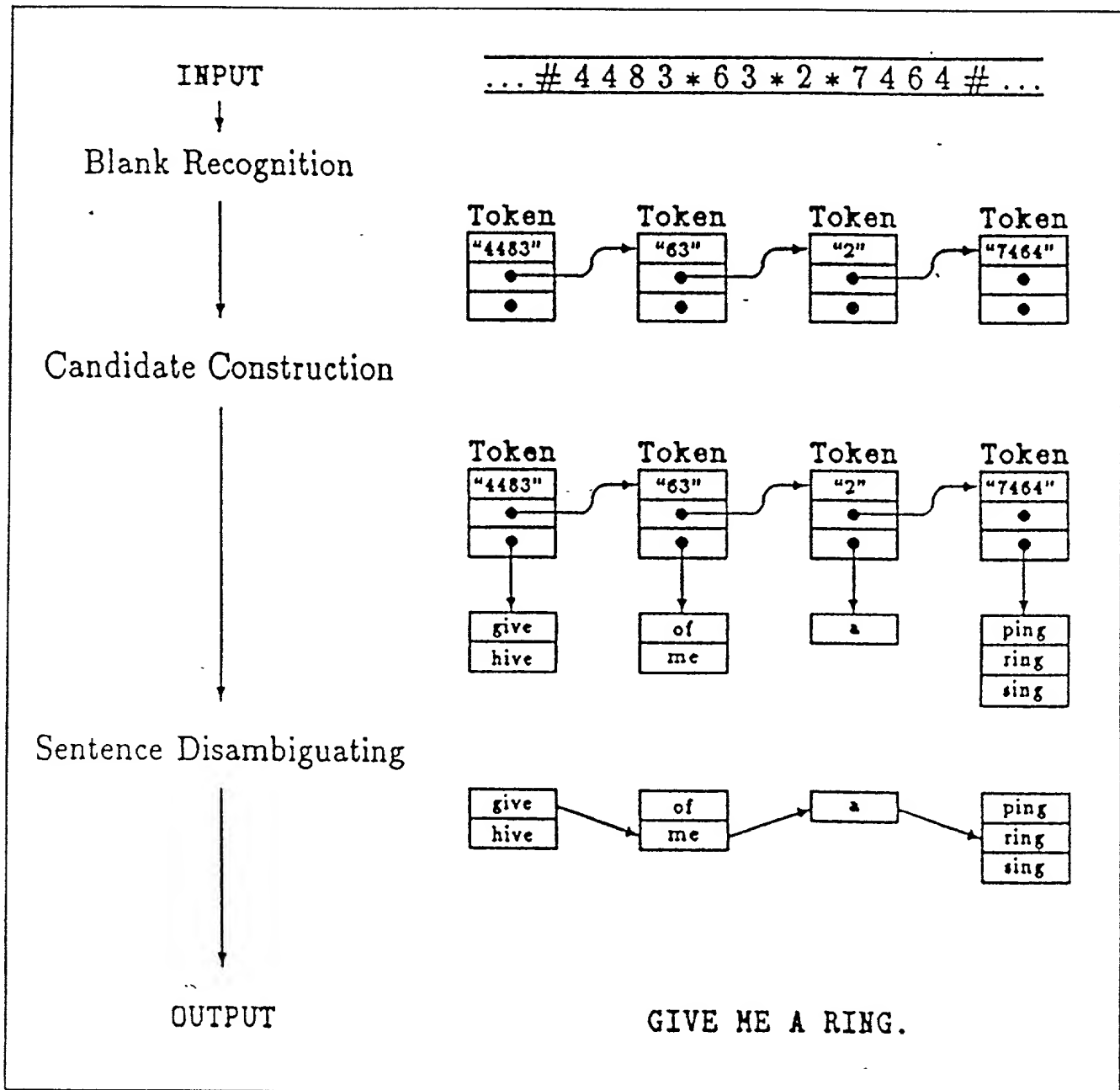


FIG. 3

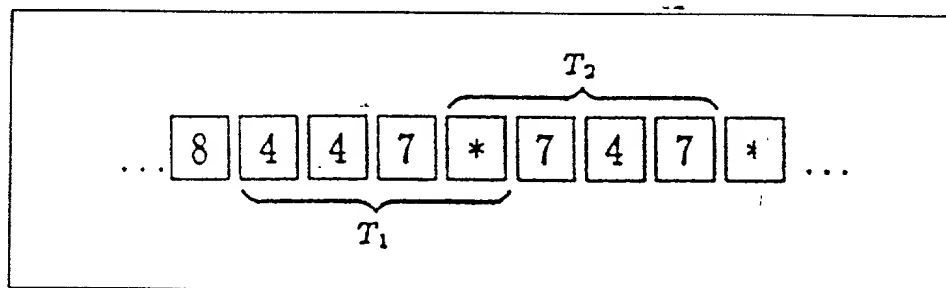


FIG. 4

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<i>Number of coinciding codes</i>	<i>Words</i>	<i>Code Strings</i>
1	41122	41122
2	4896	2448
3	1476	492
4	588	147
5	300	60
6	174	58
7	42	6
8	48	6
9	9	1
10	0	0
11	11	1

FIG. 5

<i>Code</i>	<i>Word Candidates</i>	<i>Count</i>
22737	cases cares cards capes caper bases baser bares barer bards	1 1
46637	inner hoofs hoods hones honer homes homer goods goner	9
269	coy cox cow boy box bow any amy	8
76737	sores sorer roses ropes roper poses poser pores	8
7283	scud save sate rave rate pave pate Saud	8
729	say sax saw ray raw pay pax paw	8
72837	saves saver sates raves rates rater paves-pater	8
2273	case care card cape base bare bard acre	8
7867	suns sums stop runs rump puns pump	7
752837	slaves slaver slates slat r skates skater plates	7
-4663	hoof hood hone home goof good gone	7

FIG. 6

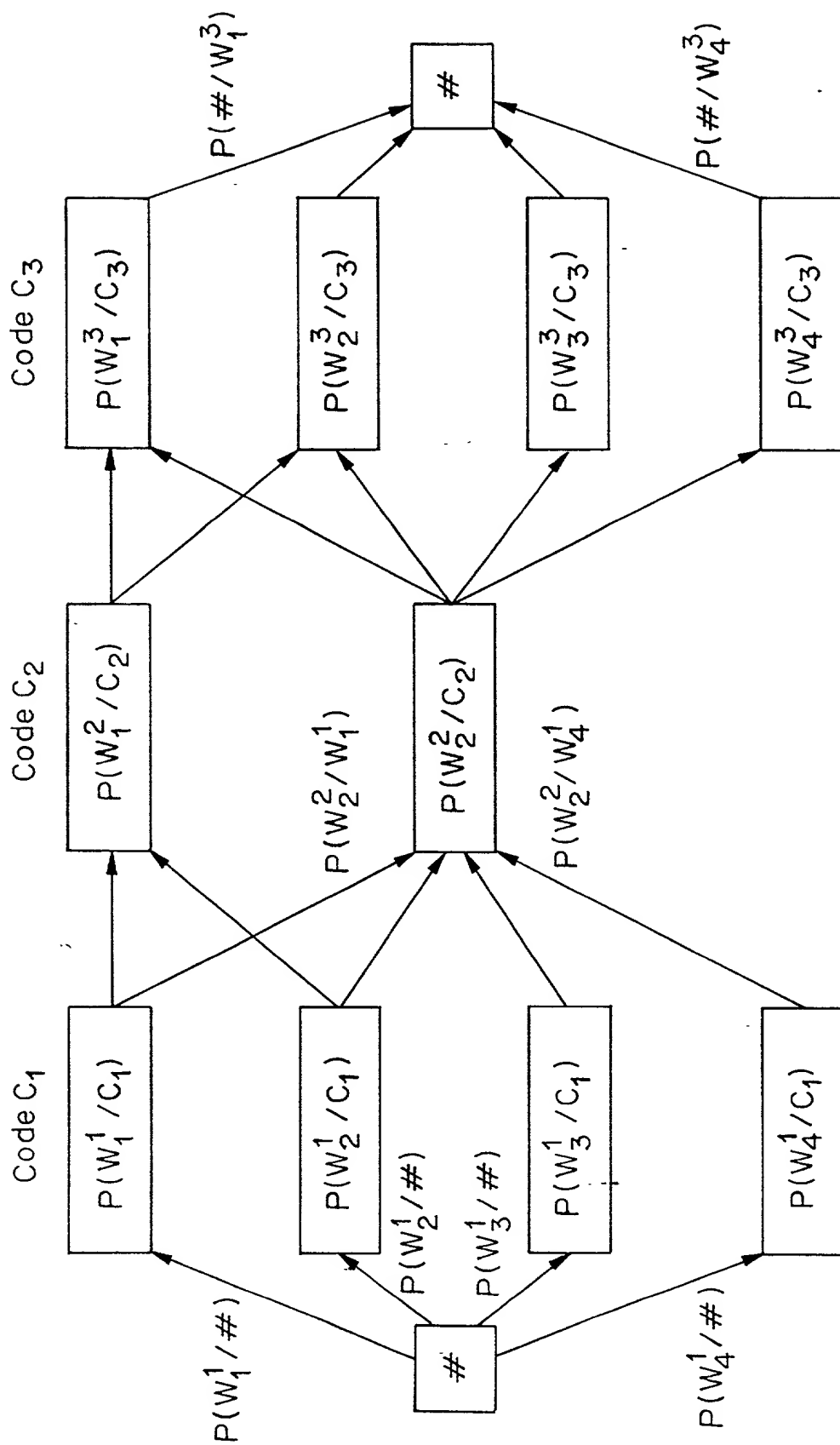


FIG. 7

<i>List of Word Tags</i>		
Tag	Description	Examples
ABL	pre-qualifier	<i>quite, rather</i>
ABN	pre-quantifier	<i>half, all</i>
AP	post-determiner	<i>many, next</i>
AT	article	<i>a, the, no</i>
CC	coordination conjunction	<i>and, or</i>
DT	singular determiner	<i>this, that</i>
DTS	plural determiner	<i>these, those</i>
JJ	adjective	<i>compellable</i>
NN	sing. or mass noun	<i>powder</i>
NNS	plural noun	<i>drafts</i>
NR	adverbial noun	<i>home, west</i>
VB	verb, base form	<i>take</i>
VBD	verb, past tense	<i>took</i>
VBG	verb, present participle, gerund	<i>taking</i>
VCN	verb, past participle	<i>taken</i>
VBZ	verb, 3rd singular present	<i>takes</i>
WDT	wh- determiner	<i>what, which</i>

FIG. 8

Phase	Characters identified	Errors of total	Accuracy	Time
Total	3155498	29875	99.05%	1.03 ms/char
Blank				
Recognition	15.82%	0.45%	99.97%	12.88%
Unknown Word	5.31%	71.28%	87.28%	0.74%
Split	0.64%	0.57%	99.15%	0.03%
Affix	1.58%	12.04%	92.79%	0.07%
Match	2.41%	37.12%	85.41%	0.35%
Viterbi	0.68%	21.55%	70.03%	0.29%
Sentence Disambiguation	78.88%	28.27%	99.66%	86.11%

FIG. 9

Text	characters	characters correct	non-blanks correct	words correct	time per character
Clinton Speeches	1073593	99.04%	98.86%	97.67%	0.97ms
Herland	278670	98.24%	97.89%	97.02%	0.97ms
Moby Dick	1123581	96.85%	96.25%	94.75%	1.14ms
Bible	3961684	96.20%	95.39%	95.39%	1.33ms
Shakespeare	4558202	95.20%	94.21%	92.86%	0.99ms

FIG. 10

FOURSCORE AND SEVEN YEARS AGO
OUR FATHERS BROUGHT FORTH ON
THIS CONTINENT A NEW NATION CON-
CEIVED IN LIBERTY AND DEDICATED
TO THE PROPOSITION THAT ALL MEN
ARE CREATED EQUAL.

NOW WE ARE ENGAGED IN A GREAT
CIVIL WAR TESTING WHETHER THAT
NATION OR ANY NATION SO CON-
CEIVED AND SO DEDICATED CAN LONG
ENDURE. WE ARE MET ON A GREAT
BATTLEFIELD OF THAT WAS. WE
HAVE COME TO DEDICATE A PORTION
OF THAT FIELD AS A FINAL SERVING
PLACE FOR THOSE WHO HERE HAVE
THEIR LIVES THAT THE NATION MIGHT
LIVE . IT IS ALTOGETHER FITTING AND
PROPER THAT WE SHOULD DO THIS.

BUT IN A LARGER SENSE WE CAN NOT
DEDICATE WE CAN NOT CONSECRATE
WE CAN NOT HALLOW THIS GROUND.
THE BRAVE MEN LIVING AND DEAD
WHO STRUGGLED HERE HAVE CONSE-
CRATED IT FAR ABOVE. OUR POOR
POWER TO ADD OR DETRACT. THE
WORLD WILL LITTLE NOTE NOR LONG

8/8

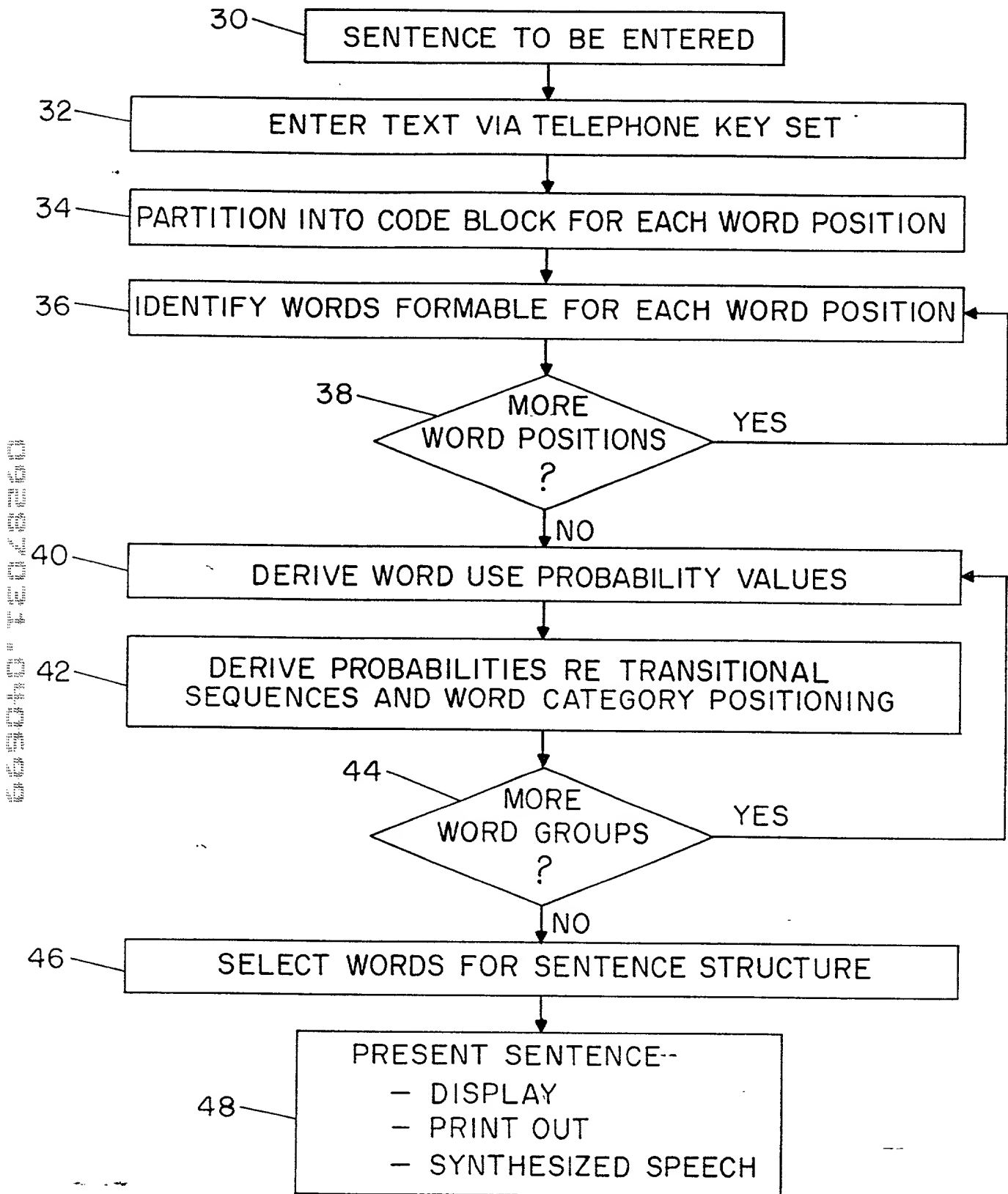


FIG. 12